

PATENT APPLICATION FEE DETERMINATION RECORD

Effective December 29, 1999

Application or Docket Number

09/592845

CLAIMS AS FILED - PART I

FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA
BASIC FEE		
TOTAL CLAIMS	84 minus 20=	64
INDEPENDENT CLAIMS	14 minus 3=	11
MULTIPLE DEPENDENT CLAIM PRESENT		

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

AMENDMENT A	(Column 1) CLAIMS REMAINING AFTER AMENDMENT	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
10/30/04 Same			
Total	84	Minus	84 =
Independent	14	Minus	14 =
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

AMENDMENT B	(Column 1) CLAIMS REMAINING AFTER AMENDMENT	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
7/14/04			
Total	89	Minus	84 = 5
Independent	15	Minus	14 = 1
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

AMENDMENT C	(Column 1) CLAIMS REMAINING AFTER AMENDMENT	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
12/20/04			
Total	94	Minus	89 = 5
Independent	15	Minus	15 = 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

- * If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
 - ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."
 - *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."
- The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

SMALL ENTITY
TYPE ☐

OR
OTHER THAN
SMALL ENTITY

RATE	FEE		RATE	FEE
	345.00	OR		690.00
X\$ 9=	576	OR	X\$18=	576
X39=	429	OR	X78=	429
+130=		OR	+260=	
TOTAL	1350	OR	TOTAL	

SMALL ENTITY

OR
OTHER THAN
SMALL ENTITY

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X39=		OR	X78=	
+130=		OR	+260=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X39=		OR	X78=	
+130=		OR	+260=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X39=		OR	X78=	
+130=		OR	+260=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	



ATTORNEY DOCKET NO.: 5547

PATENT APPLICATION

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Mark Rosenberg

Confirmation No.: 5201

Application No.: 09/592,845

Examiner: S. Narayanswamy

Filing Date: June 13, 2000

Group Art Unit: 3624

Title: INVESTMENT STRUCTURE AND METHOD HAVING FIXED AND CONTINGENT
COMPONENTSCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**RECEIVED**

JUL 20 2004

GROUP 3600TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- ☒ Response/Amendment ☒ Petition to extend time to respond
☒ New fee as calculated below ☐ Supplemental Declaration
☐ No additional fee (Address correspondence to "Mail Stop Non-Fee Amendment")
☐ Other: _____ (fee \$ _____)

CLAIMS AS AMENDED BY OTHER THAN A LARGE ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	16	MINUS	84	= 0	X \$9	\$ 0
INDEP. CLAIMS	3	MINUS	14	= 0	x \$43	\$ 0
[]	FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$145	\$ 0
EXTENSION FEE	1ST MONTH \$55.00 <input type="checkbox"/>	2ND MONTH \$210.00 <input type="checkbox"/>	3RD MONTH \$475.00 <input checked="" type="checkbox"/>	4TH MONTH \$740.00 <input type="checkbox"/>		\$ 475
OTHER FEES						\$ 0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 475

Please charge **\$475.00** to Deposit Account No. 50-2849 to cover the above fees. In the event any difference exists between the amount authorized to be charged and the actual charges, please charge or credit any such difference to Deposit Account No. 50-2849.

ANDREWS KURTH LLP
Intellectual Property Department
1701 Pennsylvania Avenue, N.W.
Suite 300
Washington, D.C. 20006
Telephone No.: (202) 662-2700
Facsimile No.: (202) 662-2739

Respectfully submitted,

[Signature]
Sean S. Wooden
Attorney/Agent for Applicant
Reg. No. 43,997

Date: July 14, 2004

- Attach as First Page to Transmitted Papers -

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